

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RM449f

In Re Application Of: **Mattson et al.**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **SUCTION FILTER SANITATION DEVICE**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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SUCTION FILTER SANITATION DEVICE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0617 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on March 10, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lucille Coppola

Signature of Person Mailing Correspondence

Lucille Coppola

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Aileen Law

Signature

Dated: 3/10/2004

Aileen Law Reg. No. 51,923
Patent Law Offices of Rick Martin, P.C.
416 Coffman Street
Longmont, CO 80501
303-651-2177

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mattson et al.

Serial No.:

Filed:

For: SUCTION FILTER SANITATION
DEVICE

Group Art Unit:

Examiner

Attorney Docket RM449F

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the provisions of 37 C.F.R. §1.56 and in accordance with Applicant's duty of disclosure requirements, Applicant hereby calls to the attention of the Patent and Trademark Office the items listed on the attached Forms PTO-1449 and PTO-892 to be made of record in the above-identified application.

The citations therein were all made in the parent application, Serial No. 10/211,497 filed 8/2/2002 issued as U.S. Pat. No. _____ on _____. In accordance with 37 C.F.R. §1.98(d), copies of these references are not provided.

The present Information Disclosure Statement is filed within three months of the filing date and before the issue of the initial Office Action for the present application. Accordingly, no filing or petition fee is required.

Applicant presents these references so that the patent Office may, in the first instance, determine any materiality thereof to the claimed invention. See C.F.R. §§1.97(b), (c), (d), 1.104(a), and 1.106(b) concerning the PTO duty to consider and use any such art. Applicant respectfully requests that these references be expressly considered during the prosecution of this

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express mail Post Office to Addressee" service in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA on: March 10, 2004.

Lucille Coppola

application and made of record herein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,



Date: 3/10/2004

Aileen Law
Reg. No. 51,923
Patent Law Offices of Rick Martin, P.C.
416 Coffman Street
Longmont, CO 80501
(303) 651-2177

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

RM449

Application Number

Applicant(s)

Mattson et al.

Filing Date

Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,328,900 B1	12/11/2001	King			
		US 6,592,766 B2	07/15/2003	King			
		US 6,280,617 B1	08/28/2001	Brandreth, III			
		US 6,190,547 B1	02/20/2001	King et al.			
		5,743,287	04/28/1998	Rauchwerger			
		5,862,545	01/26/1999	Mathis et al.			
		4,584,106	04/22/1986	Held			
		6,165,358	12/26/2000	Denkewicz, Jr. et al.			
		US 6,540,916 B2	04/01/2003	Patil			
		4,630,634	12/23/1986	Sasaki et al.			
		US 6,340,431 B2	01/22/2002	Khan			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

XAMINER

DATE CONSIDERED

XAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

RM449

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Filing Date

Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,692,314	09/08/1987	Etani			
		US 6,641,787 B1	11/4/2003	Siggins et al.			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

KAMINER

DATE CONSIDERED

KAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and it considered. Include copy of this form with next communication to applicant.